## IAP15 Rec'd PCT/PTO 2 7 SEP 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 095309.56093US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/529.837 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/EP2003/10148 September 12, 2003 October 1, 2002 TITLE OF INVENTION FUEL CELL SYSTEM PROVIDED WITH A COOLING CIRCUIT APPLICANT(S) FOR DO/EO/US Uli PAULUS, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 冈 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4 The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. Is not required, as the application was filed in the United States Receiving Office (RO/US). C. 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. a. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. b. C. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (One (1) page). $\boxtimes$ 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: A copy of the Notification to Missing Requirements dated July 31, 2006.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 02-2005)

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 10/529.837 PCT/EP2003/10148 095309.56093US CALCULATIONS The following fees have been submitted PTO USE ONLY Basic national fee (37 C.F.R. §1.492(a))......\$300.00 21. \$ 22. Examination fee (37 C.F.R. §1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0.00 All other situations ......\$200.00 \$ 23. Search fee (37 C.F.R. §1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....................\$400 \$ TOTAL OF 21, 22 AND 23 = \$ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) - 100 **/50 =** x 250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). \$ 130.00 CLAIMS **NUMBER FILED** NUMBER EXTRA RATE Total claims - 20 50 \$ x Independent claims - 3 200 х \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ **TOTAL OF ABOVE CALCULATIONS =** \$130.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½ \$130.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \_\_\_\_\_\_+ \$ **TOTAL NATIONAL FEE =** \$ Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property ......+ \$ **TOTAL FEES ENCLOSED =** \$130.00 10/<del>02/2006 LLANDGRA 00000072 10529837</del> Amount to be FC:1617 refunded: 130.00 OP Amount to be charged: A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.56093US) in the amount of \$ b. to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.56093US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. dwarde SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group SIGNATURE P.O. Box 14300 Gary R. Edwards NAME Washington, D.C. 20044-4300 31,824 Tel. No. (202) 624-2500 REGISTRATION NUMBER Fax No. (202) 628-8844 September 27, 2006 GRE/stw (doc no. 2855434v1) DATE

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### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF United States Patent and Trademark Offic Address COMMISSIONER FOR PATENTS FO. Box 1430 Alexandria, Viginia 22313-1450 www.upto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/529.837

Uli Paulus

095309.56093US

INTERNATIONAL APPLICATION NO.

PCT/EP03/10148

I.A. FILING DATE

PRIORITY DATE

09/12/2003

10/01/2002

**CROWELL & MORING LLP** INTELLECTUAL PROPERTY GROUP WASHINGTON, DC 20044-4300

**CONFIRMATION NO. 5846** 371 FORMALITIES LETTER

OC000000019805625\*

Date Mailed: 07/31/2006

# Missing Requirements 9/30/06

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/31/2005
- English Translation of the IA filed on 03/31/2005
- Copy of the International Search Report filed on 03/31/2005
- Preliminary Amendments filed on 03/31/2005
- Information Disclosure Statements filed on 03/31/2005
- U.S. Basic National Fees filed on 03/31/2005
- Substitute Specification filed on 03/31/2005
- Priority Documents filed on 03/31/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/529,837	PCT/EP03/10148	095309.56093US

FORM PCT/DO/EO/905 (371 Formalities Notice)